Agency-wide File Structure

Agency File (`odo	Title
		THE
Function	No. Item	
1		Services for Citizens
104		Disaster Management
104-008		Disaster Preparedness and Planning
104-008-01		EPA Emergency Prevention
	092	Spill Prevention Control and Countermeasure (SPCC) Facility Plans
	а	Record copy
104-008-02		EPA Emergency Preparedness
	044	Risk Management Plan (RMP) Implementation Records
	а	Record copy
	047	System for Risk Management Plans (SRMP)
	а	Electronic software program
	b	Input
	С	Electronic data (RMP*Maintain) Executive Summary
	d	(RMP*Maintain) Data
	е	(RMP*Maintain) Graphics
	f	(RMP*Review) Audit and User-Defined Data
	<u>g</u> :	All other data
	I	Supporting documentation
	048	EPCRA Trade Secret Files
	а	Record copy
	093	Oil Removal Contingency Plans
	а	Record copy
	222	Radiological Emergency Planning
	а	Record copy
	490	Emergency Planning Program For Radiological Incidents
	а	Record copy
	491	Protective Action Planning Manual - Work Files
	а	Record copy
104-010		Emergency Response
	060	Emergency Response Notification System (ERNS)
	а	Electronic software programs
	С	Electronic data
	е	Supporting documentation
	061	Continuous Release Emergency Response Notification System (CR-ERNS)
	a	CR-ERNS electronic software program
	С	Electronic data
104-010-01	е	Supporting documentation Natural Disaster Response
104-010-01	000	
	233	Disaster Response
	a(1)	Presidential declared major disasters - Nonelectronic
	a(2) b	Presidential declared major disasters - Electronic Other Presidential declared emergencies
	C	Local EPA emergencies
104-010-02	<u> </u>	Anthropogenic Spills and Incidents Response
	086	National Contingency Plan (NCP) Product Files
	555	National Contingency Flan (NOF) Floudet Flies

Agency F	ile Code	Title
Function	No. Item	
	а	Record copy
108		Environmental Management
	005	Special Studies
	a	Record copy
	099	Pilot Projects
	a	Record copy
		· · ·
	720	EPIC Aerial Photographs
	a(1)	Photographic collection - Nonelectronic
	a(2)	Photographic collection - Electronic
	b(1)	Analytical reports - Nonelectronic
	b(2)	Analytical reports - Electronic
	c(1)	Indexes - Nonelectronic
100 000	c(2)	Indexes - Electronic
108-023		Environmental Monitoring and Forecasting
	442	Crandon Mine
	а	Crandon Project Team Coordinator Subject Files
	b	Crandon Mining Company, Environmental Impact Report and Addendum
	С	Records from Waste Management Permit Branch
	d	Freedom of Information Act (FOIA) Request Files
	е	Studies and Reports from WDNR
	f	Hydrological Simulation Program FORTRAN Development Files
	g(1)	Hydrological Simulation FORTRAN Model Program
	g(2)	Hydrological Simulation Program FORTRAN Database
	h	Crandon Mine Web Site Snapshot
	475	Radiation and Indoor Environments Laboratory Information Management System (R&IE LIMS)
	а	Electronic software program
	С	Electronic data
	е	System documentation
	744	Beach Advisory and Closing On-line Notification (BEACON)
	а	Electronic software program
	b	Input
	С	Electronic data
108-023-01		Air Monitoring and Forecasting
	002	Emissions Inventory System (EIS)
	a	Electronic software program
	C	Electronic data
	e	System documentation
		·
	242	Clean Air Status and Trends Network (CASTNET)
	a	Electronic software program
	С	Electronic data System documentation
	e	System documentation
	494	Trends Report (TRRP)
	а	Electronic software program
	С	Electronic data
	495	RadNet
	а	Electronic software program
	С	Electronic data
	е	System documentation

rev. 06/10/2009

Agency File	Codo	Title
Function	No. Item	
	496	Air Quality System (AQS)
	а	Electronic software program
	С	Electronic data
	е	System documentation
108-023-02		Water Monitoring and Forecasting
	238	New York Bight Water Quality Helicopter Monitoring System (R2NY-BIGHT)
		Electronic software program
	a	Electronic Data
	C	
	239	U.S. Virgin Island Ambient Monitoring Survey (VI-SURVEY)
	а	Electronic system software
	С	Electronic data
	368	Ocean Data Evaluation System (ODES)
	а	Electronic software program
	С	Electronic data
	е	Supporting documentation
	400	Storage and Retrieval of Water Quality Information (STORET)
	а	Electronic software program
	b	Input
	С	Electronic data
	е	Supporting documentation
	446	The Waterbody System (WBS)
	а	Electronic software program
	C	Electronic data
	e	Supporting documentation
108-024	C	Environmental Remediation
100 02 1	050	
	050	Comprehensive Environmental Response, Compensation and Liability Information System (CERCLIS)
	а	Electronic software program
	C	Electronic data
	e	System documentation
108-024-01	C	Site and Area Evaluation and Cleanup
100 024 01	04.0	
	010	Site Assessment
	a (2)	No further remedial action planned sites (NFRAPs)
	d(2)	Sites not yet assigned to the NPL or NFRAP - After NPL determination
	d(3)	Sites not yet assigned to the NPL or NFRAP - After removal determination Brownfields sites
	e	
	018	Sampling and Analytical Data Files - Superfund Site-Specific
	a .	Current files
	b	Purge files
	C	HQ and Sample Management Office (SMO) files
	d	EMSL/LV lab performance evaluation files
	е	Regional lab records that include in-house sampling
	019	Administrative Records - Superfund Site-Specific
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
	084	Case-Specific Decisions on Indemnification Requests from States for Response Action Contractors (RACs)
	а	Indemnifications with a specified time limit
	085	Administrative Decision Records

Agency File (Code		Title
Function	No.	Item	
ranonom	110.	a	Record copy
108-024-01-01		u	Perform Removals
	013		Removal Records - Superfund Site-Specific
	0.10	a(1)	Record copy - Nonelectronic
		a(2)	Record copy - Electronic
	481		Removal Records - Oil Spill Site-Specific
		а	Record copy
108-024-01-02			Clean Up Contaminated Land
	014		Remedial Site Files - Superfund Site-Specific
		a(1)	Record copy - Nonelectronic
		a(2)	Record copy - Electronic
108-024-01-03			Federal Facilities Restoration
	015		Formerly Used Defense Sites (FUDS)
		а	Record copy
108-025			Pollution Prevention and Control
	223		Sampling and Analytical Data Files
		а	Record copy
108-025-01			Manage Air Quality
108-025-01-01			Manage State and Local Air Quality
	216		Air Quality Management Files
		а	Record copy
	217		State, Tribal and Federal Implementation Plans
		a(1)	Record copy - Nonelectronic
		a(2)	Record copy - Electronic
		b	Other copies
	237		State and Local Agency Air Monitoring File
		a(1)	Record copy - Nonelectronic
		a(2)	Record copy - Electronic
	486		Inspection and Maintenance
		a(1)	Record copy - Nonelectronic
		a(2)	Record copy - Electronic
	746		Stationary Source Audit Program (SSAP)
		а	Electronic software program
		С	Electronic data
	747		RACT/BACT/LAER Clearinghouse (RBLC)
		а	Electronic software program
108-025-01-01-02		С	Electronic data Manage Mobile Air Pollution Sources
100-023-01-01-02	101		
	101	а	Imported Nonconforming Motor Vehicle Case Files Record copy
	150	u	· ·
	158	а	Fuel Reporting System (DCFuels) Electronic software program
		C	Electronic data - registration
		d	Electronic data - compliance reporting
	218		Transportation Plans
	2.10	а	Transportation control plans
		b	Transportation conformity determinations
	482		Certification Records
	102		5555.1011 10001 45

Agency File	e Code	Title
Function	No. Item	
Tanonon	a a	Record copy
	483	Ann Arbor Recall and In-Use Testing Records
	463 a	Record copy
	484	Data Records
	464 a	Record copy
	485	· ·
	a	Vehicles and Engines Compliance Information Systems Electronic software system
	C	Electronic data
	е	Systems documentation
	493	Ann Arbor In-Use Test Data System (IUTD)
	а	Electronic software program
	С	Electronic data
	498	Emission Factors Program (EFP) Test Records
	а	Record copy
108-025-01-02		Manage Air Toxics Sources
	228	CFC Certificates
	а	Record copy
108-025-01-03		Manage Indoor Air Quality
	219	Radon Action Files
	а	Record copy
108-025-01-04		Manage Radiation
	220	NESHAPS Radiation Facility and Site Files
	а	Department of Energy NESHAPS reports
	b	Other federal NESHAPS reports
	С	Non-federal documentation
	464	Certification of Waste Isolation Pilot Plant (WIPP)
	a	Record copy
	489	Advanced Technology Problem Area Projects Files
	а	Record copy
108-025-01-07		Implement Clean Air Allowance Trading
	041	Clean Air Markets Division Business System (CAMDBS)
	а	Electronic software programs
	b	Input
	C	Electronic data - source management data
	d e	Electronic data - allowance tracking data Electronic data - emissions tracking data
	e g	Supporting documentation
108-025-02	ສ	Manage Water Quality
	213	Water Quality Planning and Management Files
	a(1)	Final plans and annual and biennial reports - Nonelectronic
	a(2)	Final plans and annual and biennial reports - Electronic
	b	Supporting files
	411	Accident and Incident Summary Reports
	а	Record copy
	412	State Water Standards Files
	a(1)	Standards, initial development, 1965-1974 - Nonelectronic
	a(2)	Standards, initial development, 1965-1974 - Electronic
	b	Standards, continuation, 1975-forward
	c(1)	History - Nonelectronic

Agency File (Code	Title
Function	No. Item	
	c(2)	History - Electronic
	d(1)	Letters from state governors on development and adoption of water standards, 1967-1971 - Nonelectronic
	d(2)	Letters from state governors on development and adoption of water standards, 1967-1971 - Electronic
	429	State 305(b) Water Quality Reports
	a	Record copy
	449	Alternate Test Procedure Applications
	a(1)(a)	
	a(1)(b)	Approval of proposed method recommended and proposed method recommended as an acceptable version of a previously approved method - Electronic
	a(2)	Disapproval of proposed method recommended
	a(3)	Application defaulted by applicant
	a(4)	Application not within EPA jurisdiction (parameter not regulated)
	b	Non-radionuclide methods developed for limited use in NPDWR monitoring
	С	Master list of approved alternative test procedures for NPDES and NPDWR generated every six months
	750	Water Quality Standards Database (WQSDB)
	а	Electronic software program
	b	Input
	C	Electronic data - Record copy
	d	Electronic copy of records transferred to the National Archives
100 025 02 02	f	System documentation
108-025-02-02		Manage Point Sources of Water Pollution
	426	Regional Inventory - Municipal Waste Facilities (A Cooperative State Report)
	a(1)(a)	Regional inventory - Nonelectronic
	a(1)(b)	
	b(1)(a)	
	b(1)(b)	
	444	Industrial Facilities Discharge (IFD) File
	a	Electronic software program
	С	Electronic data Supporting decumentation
108-025-02-02-01	е	Supporting documentation Develop Effluent Guidelines
100-023-02-02-01	414	·
	414	Effluent Guidelines Studies (EFG) Completed studies Nanelectronic
	a(1) a(2)	Completed studies - Nonelectronic Completed studies - Electronic
	a(2) b	Supporting documentation
108-025-02-02-02	<u> </u>	Issue Water Discharge Permits
11 11 01 01 02	419	Permit Compliance System (PCS)
	a a	Electronic software program
	C	Electronic data
	e	System documentation
108-025-02-03		Manage Non-Point Sources of Water Pollution
	514	404 Program File
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
108-025-02-04	- \ - /	Manage Watersheds
		<u> </u>

Agency File	- Code	Title
Function	No. Item	THE STATE OF THE S
ranotion		
	405	Clean Watersheds Needs Survey (CWNS)
	a	CWNS program file
	b(1)	Final report to Congress - Nonelectronic
	b(2)	Final report to Congress - Electronic
	С	Questionnaires Database and database decumentation
	d	Database and database documentation
	428	Annual Report - Fish Kills
	a(1)	Record copy - Nonelectronic
100 025 02	a(2)	Record copy - Electronic
108-025-03		Ensure Safe Drinking Water
	212	Sole Source Aquifer Files
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
	214	Drinking Water Management and Direct Implementation Files
	а	Record copy
108-025-03-01		Underground Injection Control
	234	Underground Injection Control Databases
	а	Electronic software program
	С	Electronic data
	е	Supporting documentation
	443	Hazardous Waste Injection Well Database
	а	Electronic software program
	С	Electronic data
	е	Supporting documentation
108-025-03-02		Management of Public Water Systems
	253	Safe Drinking Water Accession and Review System (SDWARS)
	а	Electronic software program
	b	Input
	c(1)	Electronic data - Record copy
	е	Implementation files
	f	System documentation
	401	Safe Drinking Water Information System - State Version (SDWIS/STATE)
	а	Electronic software program
	b	Input
	С	Electronic data
	е	Supporting documentation
	413	SDWIS/Operational Data System (SDWIS/ODS)
	а	Electronic software program
	b	Input
	С	Electronic data
	е	System documentation
	436	National Contaminant Occurrence Database (NCOD)
	а	Electronic software program
	b	Input
	С	Electronic data
	741	Laboratory Approval and Analytical Method Development Supporting Direct Implementation of Drinking Water Regulations
	a(1)	Lab approval program and method development - Nonelectronic
	a(2)	Lab approval program and method development - Electronic
	b	Project workpapers and administrative correspondence

Tunction	Agency File	Code	Title
108-025-04	Function	No. Item	
108-025-04 Manage Risks from Underground Tanks	108-025-03-02-01		Develop Drinking Water Standards
108-025-04 Amanage Risks from Underground Tanks 108-025-05 Manage Risks from Underground Tanks 108-025-05 Manage Risks from Wastes 108-025-05 Manage Risks from Wastes 012 Federal Agency Hazardous Waste Compliance Site Files (Federal Facilities Files) a (1) Record copy - Nonelectronic a (2) Record copy - Electronic a (2) Record copy - Develop - Nonelectronic a (2) Record copy - Electronic a (3) Record copy - Electronic a (3) Record copy - Electronic a (4) Record copy - Electronic a (5) Record alectronic a (7) Record alectronic		434	Additives Files
108-025-04 Manage Risks from Underground Tanks 215		а	
108-025-05	108-025-04		
108-025-05		215	Underground Storage Tanks Site and Facility Files
108-025-05 Manage Risks from Wastes			•
A	108-025-05		· •
A		012	Federal Agency Hazardous Waste Compliance Site Files (Federal Facilities Files)
a(2) Record copy - Electronic 091 CERCLA Section 103 Notifications a(1) Record copy - Nonelectronic a(2) Record copy - Electronic 201 RCRA Solid Waste Management Plans a Record copy 256 Import and Export Notifications a Record copy 257 National RCRA Information System (RCRAInfo) a Electronic software program c Electronic data d Output and reports - Biennial report f System documentation 478 RCRA Generators, Transporters, and TSD Facilities Files a(1) RCRA land disposal permits - Nonelectronic a(2) RCRA land disposal permits - Nonelectronic a(2) RCRA land disposal permits - Electronic b Other permits for generators, transporters, and TSD facilities 108-025-06 Manage Environmental Risk from Substances 739 OPPTS Scientific Project Files a Study formulation and approval b Equipment maintenance, calibration, and inspection 108-025-06-01		a(1)	
a(1) Record copy - Electronic a(2) Record copy - Electronic 201 RCPA Solid Waste Management Plans a Record copy 256 Import and Export Notifications a Record copy 257 National RCRA Information System (RCRAInfo) a Electronic software program c Electronic data d Output and reports - Blennial report f System documentation 478 RCRA Generators, Transporters, and TSD Facilities Files a(1) RCRA land disposal permits - Nonelectronic a(2) RCRA land disposal permits - Electronic b Other permits for generators, transporters, and TSD facilities 108-025-06 Manage Environmental Risk from Substances 739 OPPTS Scientific Project Files a Study formulation and approval 108-025-06-01 Ensure Safe Use of Pesticides a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a			Record copy - Electronic
a(1) Record copy - Electronic a(2) Record copy - Electronic 201 RCPA Solid Waste Management Plans a Record copy 256 Import and Export Notifications a Record copy 257 National RCRA Information System (RCRAInfo) a Electronic software program c Electronic data d Output and reports - Blennial report f System documentation 478 RCRA Generators, Transporters, and TSD Facilities Files a(1) RCRA land disposal permits - Nonelectronic a(2) RCRA land disposal permits - Electronic b Other permits for generators, transporters, and TSD facilities 108-025-06 Manage Environmental Risk from Substances 739 OPPTS Scientific Project Files a Study formulation and approval 108-025-06-01 Ensure Safe Use of Pesticides a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a		091	CERCLA Section 103 Notifications
201 RCRA Solid Waste Management Plans a Record copy 256 Import and Export Notifications a Record copy 257 National RCRA Information System (RCRAInfo) a Electronic software program c Electronic data d Output and reports - Biennial report f System documentation 478 RCRA Generators, Transporters, and TSD Facilities Files a(1) RCRA land disposal permits - Nonelectronic a(2) RCRA land disposal permits - Electronic b Other permits for generators, transporters, and TSD facilities 108-025-06 Manage Environmental Risk from Substances 739 OPPTS Scientific Project Files a Study formulation and approval b Equipment maintenance, calibration, and inspection 108-025-06-01 Ensure Safe Use of Pesticides 157 Chemical Reviews a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic		a(1)	
a Record copy 256 Import and Export Notifications a Record copy 257 National RCRA Information System (RCRAInfo) 258 Electronic software program c Electronic data d Output and reports - Biennial report f System documentation 478 RCRA Generators, Transporters, and TSD Facilities Files a(1) RCRA land disposal permits - Nonelectronic a(2) RCRA land disposal permits - Electronic b Other permits for generators, transporters, and TSD facilities 108-025-06 Manage Environmental Risk from Substances 739 OPPTS Scientific Project Files a Study formulation and approval b Equipment maintenance, calibration, and inspection 108-025-06-01 Ensure Safe Use of Pesticides 157 Chemical Reviews a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic			· •
a Record copy 256 Import and Export Notifications a Record copy 257 National RCRA Information System (RCRAInfo) 258 Electronic software program c Electronic data d Output and reports - Biennial report f System documentation 478 RCRA Generators, Transporters, and TSD Facilities Files a(1) RCRA land disposal permits - Nonelectronic a(2) RCRA land disposal permits - Electronic b Other permits for generators, transporters, and TSD facilities 108-025-06 Manage Environmental Risk from Substances 739 OPPTS Scientific Project Files a Study formulation and approval b Equipment maintenance, calibration, and inspection 108-025-06-01 Ensure Safe Use of Pesticides 157 Chemical Reviews a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic		201	RCRA Solid Waste Management Plans
256 Import and Export Notifications a Record copy 257 National RCRA Information System (RCRAInfo) a Electronic software program c Electronic data d Output and reports - Biennial report f System documentation 478 RCRA Generators, Transporters, and TSD Facilities Files a(1) RCRA land disposal permits - Nonelectronic a(2) RCRA land disposal permits - Electronic b Other permits for generators, transporters, and TSD facilities 108-025-06 Manage Environmental Risk from Substances 108-025-06 A Study formulation and approval a S Equipment maintenance, calibration, and inspection 108-025-06-01 Ensure Safe Use of Pesticides 157 Chemical Reviews a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy B(1) Input - Paper b(2) Input - Paper b(2) <t< td=""><td></td><td></td><td>•</td></t<>			•
a Record copy 257 National RCRA Information System (RCRAInfo) a Electronic software program c Electronic data d Output and reports - Blennial report f System documentation 478 RCRA Generators, Transporters, and TSD Facilities Files a(1) RCRA land disposal permits - Nonelectronic a(2) RCRA land disposal permits - Electronic b Other permits for generators, transporters, and TSD facilities 108-025-06 Manage Environmental Risk from Substances 108-025-06 A Study formulation and approval b Equipment maintenance, calibration, and inspection 108-025-06-01 Ensure Safe Use of Pesticides 108-025-06-01 Ensure Safe Use of Pesticides a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic data b(2) Input - Paper b(
257 National RCRA Information System (RCRAInfo) a Electronic software program c Electronic data d Output and reports - Biennial report f System documentation 478 RCRA Generators, Transporters, and TSD Facilities Files a(1) RCRA land disposal permits - Nonelectronic a(2) RCRA land disposal permits - Electronic b Other permits for generators, transporters, and TSD facilities 108-025-06 Manage Environmental Risk from Substances 739 OPPTS Scientific Project Files a Study formulation and approval b Equipment maintenance, calibration, and inspection 108-025-06-01 Ensure Safe Use of Pesticides 157 Chemical Reviews a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic			· · · · · · · · · · · · · · · · · · ·
a Electronic software program c Electronic data d Output and reports - Blennial report f System documentation 478 RCRA Generators, Transporters, and TSD Facilities Files a(1) RCRA land disposal permits - Nonelectronic a(2) RCRA land disposal permits - Electronic a(2) RCRA land disposal permits - Reporters, and TSD facilities b Other permits for generators, transporters, and TSD facilities 108-025-06 Manage Environmental Risk from Substances 739 OPPTS Scientific Project Files a Study formulation and approval b Equipment maintenance, calibration, and inspection 108-025-06-01 Ensure Safe Use of Pesticides 157 Chemical Reviews a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic			
c Electronic data d Output and reports - Biennial report f System documentation 478 RCRA Generators, Transporters, and TSD Facilities Files a(1) RCRA land disposal permits - Nonelectronic a(2) RCRA land disposal permits - Electronic b Other permits for generators, transporters, and TSD facilities 108-025-06 Manage Environmental Risk from Substances 739 OPPTS Scientific Project Files a Study formulation and approval b Equipment maintenance, calibration, and inspection 108-025-06-01 Ensure Safe Use of Pesticides 157 Chemical Reviews a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Project Files a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic			
d Output and reports - Biennial report f System documentation 478 RCRA Generators, Transporters, and TSD Facilities Files a(1) RCRA land disposal permits - Nonelectronic a(2) RCRA land disposal permits - Electronic b Other permits for generators, transporters, and TSD facilities 108-025-06 Manage Environmental Risk from Substances 739 OPPTS Scientific Project Files a Study formulation and approval b Equipment maintenance, calibration, and inspection 108-025-06-01 Ensure Safe Use of Pesticides 157 Chemical Reviews a Record copy 225 Pesticides Files a Record copy 226 Pesticides Imports Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Plans for Applicator Certification a(1) Record copy - Nonelectronic			· ·
f System documentation 478 RCRA Generators, Transporters, and TSD Facilities Files a(1) RCRA land disposal permits - Nonelectronic a(2) RCRA land disposal permits - Electronic b Other permits for generators, transporters, and TSD facilities 108-025-06 Manage Environmental Risk from Substances 739 OPPTS Scientific Project Files a Study formulation and approval b Equipment maintenance, calibration, and inspection 108-025-06-01 Ensure Safe Use of Pesticides 157 Chemical Reviews a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic			
A78 RCRA Generators, Transporters, and TSD Facilities Files a(1) RCRA land disposal permits - Nonelectronic a(2) RCRA land disposal permits - Electronic b Other permits for generators, transporters, and TSD facilities 108-025-06 Manage Environmental Risk from Substances 739 OPPTS Scientific Project Files a Study formulation and approval b Equipment maintenance, callibration, and inspection 157 Chemical Reviews a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy 226 Pesticides Imports Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic		f	
a(1) RCRA land disposal permits - Nonelectronic a(2) RCRA land disposal permits - Electronic b Other permits for generators, transporters, and TSD facilities 108-025-06 Manage Environmental Risk from Substances 739 OPPTS Scientific Project Files a Study formulation and approval b Equipment maintenance, calibration, and inspection 108-025-06-01 Ensure Safe Use of Pesticides 157 Chemical Reviews a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic		478	•
a(2) RCRA land disposal permits - Electronic b Other permits for generators, transporters, and TSD facilities 108-025-06 Manage Environmental Risk from Substances 739 OPPTS Scientific Project Files a Study formulation and approval b Equipment maintenance, calibration, and inspection 108-025-06-01 Ensure Safe Use of Pesticides 157 Chemical Reviews a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files A Record copy 226 Rescord Copy 226 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic			·
b Other permits for generators, transporters, and TSD facilities 108-025-06 Manage Environmental Risk from Substances 739 OPPTS Scientific Project Files a Study formulation and approval b Equipment maintenance, calibration, and inspection 108-025-06-01 Ensure Safe Use of Pesticides 157 Chemical Reviews a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a (1) Record copy - Nonelectronic			
739 OPPTS Scientific Project Files a Study formulation and approval b Equipment maintenance, calibration, and inspection 108-025-06-01 Ensure Safe Use of Pesticides 157 Chemical Reviews a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic			
a Study formulation and approval b Equipment maintenance, calibration, and inspection 108-025-06-01 Ensure Safe Use of Pesticides 157 Chemical Reviews a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic	108-025-06		Manage Environmental Risk from Substances
b Equipment maintenance, calibration, and inspection 108-025-06-01 Ensure Safe Use of Pesticides 157 Chemical Reviews a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic		739	OPPTS Scientific Project Files
157 Chemical Reviews a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a Record copy - Nonelectronic		а	Study formulation and approval
157 Chemical Reviews a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic		b	Equipment maintenance, calibration, and inspection
a Record copy 225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic	108-025-06-01		Ensure Safe Use of Pesticides
225 Pesticides Facilities Files a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic		157	Chemical Reviews
a Record copy 226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic		а	Record copy
226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic		225	Pesticides Facilities Files
226 Pesticides Imports Files a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic		а	Record copy
a Record copy 264 Section Seven Tracking (SSTS) a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic		226	Pesticides Imports Files
a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic			· · · · · · · · · · · · · · · · · · ·
a Electronic software program b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic		264	Section Seven Tracking (SSTS)
b(1) Input - Paper b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic			5 · · · ·
b(2) Input - Electronic c Electronic data e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic		b(1)	
e System documentation 277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic			Input - Electronic
277 Pesticide Producing Establishments Reports a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic		С	
a Record copy 314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic		е	System documentation
314 State Plans for Applicator Certification a(1) Record copy - Nonelectronic		277	Pesticide Producing Establishments Reports
a(1) Record copy - Nonelectronic		а	Record copy
a(1) Record copy - Nonelectronic		314	State Plans for Applicator Certification
a(2) Record copy - Electronic		a(1)	
		a(2)	Record copy - Electronic

Agency File Code	Title
Function No. Ite	m
315	Pesticides Registration Documents
a	Registration jackets
b	Experimental use product jackets (EUPs)
c(1)(a) Established tolerances - Pesticide tolerance petition jackets - Nonelectronic
)(b) Established tolerances - Pesticide tolerance petition jackets - Electronic
c(2	
c(3) Inactive tolerances - Pesticide tolerance petition jackets
d	24c application by state
316	Novel Microbial Pesticide (NMP) Files
a	Record copy
317	Emergency Exemption Jackets (Section 18s)
а	Record copy
318	Bulletins Live
a	Electronic software program
С	Electronic data - Bulletins
d	Electronic data - County maps
f	System documentation - Bulletins
319	Notice of Supplemental Distribution of a Registered Product
а	Record copy
320	Pesticide Chemical Inert Ingredient Master File
а	Record copy
321	Indemnification Files (Administrative Claims Files)
а	Record copy
b	PC-based tracking system
324	Special Review Files
a(1) Record copy - Nonelectronic
a(2) Record copy - Electronic
325	Reregistration Fees Case Files
a	Record copy
326	Reregistration Eligibility Decision (RED) Case File
a(1	···
a(2) Record copy - Electronic
327	Company Name and Address Correspondence File
а	Record copy
329	Pesticide Registration Information System (PRISM)
а	Electronic software program
С	Registration and reregistration review data and documents
f	Reference and information tracking databases
h	System documentation
335	Pesticide Document Management System (PDMS): Registrant Registered Studies
a(1	···
a(2	
337	Laboratory Test Reports and Supporting Documentation
а	Record copy
339	Test Method Evaluation Records
a	Methods reports, methods and essential laboratory raw data
b	Non-essential supporting documentation
348	Pesticide Usage Survey Data and Documentation

Agency File Code	Title
Function No. Item	
a(1)	Final reports - Nonelectronic
a(2)	Final reports - Electronic
b	Data and documentation for studies completed before 1987
C	Data and documentation for studies completed in 1987 and after
d	Contract implementation and administration records
349	Pesticide Product Information System (PPIS)
b	Tapes and disks
350	Export Notices of Unregistered Pesticides
a	Record copy
351	Requests for EPA Company Number
a	Record copy
352	Pesticide Incident Data System
a	Electronic software program Electronic data for Incident Data System (IDS)
C	Source documents (reports)
f	System documentation
	<u> </u>
354 a	State/Federal FIFRA Issues Research and Evaluation Group (SFFIREG) Files Record copy
357	Pesticide Registration Maintenance Fee Records
a	Certified mailing green card receipts
b	Telephone logs Fee response database
361	Scientific Data Reviews
a(1)	Record copy - Nonelectronic
a(2)	Record copy - Electronic
362	Company Transfer Correspondence File
a	Record copy
363	Pesticide Product Label System (PPLS)
a	Record copy prior to 1996
b	Record copy after 1996
364	Special Accident Investigations and Case Studies
a(1)	Record copy - Nonelectronic
a(2)	Record copy - Electronic
365	Reregistration Case Files
a	Record copy
366	Label Use Information System (LUIS)
a	Electronic software system
С	Electronic data
e	System documentation
108-025-06-02	Manage Toxic Substances
153	TRI Processing System (TRIPS)
a	Input - Electronic submissions with electronic signatures and electronic data extracted from paper submissions
b	Input - Paper submissions
С	Trade secret claims
d	Trade secret claims - Tracking system (Automated Ledger Function (ALF))
е	TRIPS electronic software program
f	TRIPS electronic data
h	TRIPS system documentation

Agency File Coo	de	Title
<u> </u>	No. Item	
2	227	Toxic Substances Files
	а	Record copy
2	241	High Production Volume Information System (HPVIS)
	а	Electronic software program
	С	Electronic data
2	247	TSCA Section 6 Regulation of Hazardous Chemical Substances and Mixtures Files
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
2	248	TSCA Section 13 Imports
	а	Record copy
2	260	TSCA Section 21 Citizens Petitions
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
2	261	TSCA Section 5 New Chemical Files
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
2	262	TSCA Section 4 Chemical Test Program Results
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
2	273	Chemical Update System (CUS)
	а	Electronic software program
	С	Electronic data
	е	System documentation
2	292	TSCA Section 12(b) Notice of Export Files
	а	Original notice documents
	b	Tracking and control records
	С	Supporting information
2	295	TSCA Administrative Tracking and Control Records
	а	Maintained as a separate file and containing significant information for determining location and custodian of CBI material
	b	Maintained as a separate file and containing no significant information
2	296	TSCA Section 5 Biotechnology Files
	a(1)	Promulgation of final rule - Nonelectronic
	a(2)	Promulgation of final rule - Electronic
	b(1)	Pending promulgation of final rule - Nonelectronic
	b(2)	Pending promulgation of final rule - Electronic
3	371	TSCA Chemical Inventory File
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
3	372	TSCA Section 8 Information and Reporting Files
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
3	373	Toxic Substances Control Act Test Submissions (TSCATS)
	а	Electronic software system
	С	Electronic data
	е	System documentation
108-025-07		Prevent Pollution
2	229	Rapid Tax Amortization Files
	а	Record copy
Aganay wida Fila Ctrustur		rov 04/10/2000 Page 11 of 40

Agency File Code		Title
Function No.	Item	
108-025-08		Compliance and Enforcement
022		Integrated Data for Enforcement Analysis (IDEA)
	а	Electronic software program
	С	Electronic data
024		Cost Recovery Records
021	а	Record copy
025		Enforcement Actions - Superfund Site-Specific
	а	No legal action required and routine legal action cases
	b(1)	Landmark cases - Nonelectronic
	b(2)	Landmark cases - Electronic
027		Integrated Compliance Information System (ICIS)
02,	а	Electronic software program
	С	Electronic data
	e	Supporting documentation
057		Environmental Review Tracking System (ERP/ERTS)
037	а	Electronic software program
	C	Electronic data
101		
131	а	Environmental Impact Assessments of Nongovernmental Activities in Antarctica Record copy
133		Environmental Impact Statement (EIS) Files
133	a(1)(a)	
	a(1)(b)	EIS file - Electronic
	a(2)	CEQ Federal Register Report file
134		National Environmental Policy Act (NEPA) Preparation Files
134	a(1)(a)	Environmental impact statements (EISs) - Nonelectronic
	a(1)(b)	Environmental impact statements (EISs) - Electronic
	a(2)(a)	Environmental assessments (EAs) - Nonelectronic
	a(2)(b)	Environmental assessments (EAs) - Electronic
	a(3)(a)	
	a(3)(b)	
135		309 Review and Comment Files
133	a(1)(a)	309 review and comment file - Nonelectronic
	a(1)(b)	309 review comment file - Electronic
	a(2)	309 review report
	a(3)	Summarized comments
137		Federal Facilities Monitoring Files
137	а	Record copy
20/		
206		RCRA Corrective Action Files
	a(1)	Corrective actions for RCRA land disposals - Nonelectronic
	a(2) b	Corrective actions for RCRA land disposals - Electronic Other RCRA corrective actions
007		
207		Enforcement Action Files
	a	Administrative case files, whether a formal enforcement action is initiated or not
	b c(1)	Judicial case files where routine legal actions are required
	c(1)	Landmark or precedent cases - Nonelectronic
	c(2)	Landmark or precedent cases - Electronic
211		Compliance Files
	а	Record copy

- Agoncy Eilo Codo		Title
Agency File Code		Title
Function No.	o. Item	
23	5	Compliance Activity Tracking System (CATS)
	а	Electronic software program
	С	Electronic data
	е	System documentation
29	4	Administrative Records Pursuant to the Administrative Procedure Act
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
34	7	Printouts of Pesticide Production and Distribution Data Reported Under FIFRA
	а	Record copy
35	9	Administrative Hearing and Judicial Action Files
	а	Record copy
39	9	National Historic Preservation Act (NHPA) Compliance Documentation
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
42	0	National Compliance Database (NCDB)
	а	Electronic software program
	С	Electronic data
	е	System documentation
42	1	FIFRA/TSCA Tracking System (FTTS)
	а	Electronic software program
	С	Electronic data
43.	2	Underground Injection Control (UIC) Program Summary System
	а	Electronic software program
	b	Input
	С	Electronic data
	е	Supporting documentation
48	0	Enforcement Actions - Oil Site-Specific
	а	No legal action required or routine legal action cases
	b(1)	Landmark cases - Nonelectronic
	b(2)	Landmark cases - Electronic
49	7	Discrimination Complaints - External
	а	Record copy
51	5	Federal Facilities Data System
	а	Record copy
51	6	Federal Facilities Referrals File
	а	Record copy
51	7	Federal Agency Liaison Files
	а	Specific federal agency actions
	b	Special projects files
60	0	Deviation Requests
	a	Record copy
68		Criminal Enforcement Counsel
	a(1)	Cases investigated by the Criminal Investigation Division, but not referred to
	2(2)	DOJ because no targets were identified Cases for which targets were identified, but DOJ declined to present to
	a(2) a(3)	Cases for which targets were identified, but DOJ declined to prosecute Cases prosecuted by DOJ
	a(3) b	Requests for legal interpretations and opinions
/0		
68	4	Criminal Case Reporting System (CCRS)

Agency File Code		Title
Function No.	Item	THE
Turiction No.		Electronic coftware program
	С	Electronic software program Electronic data
	е	System documentation
404		
686	а	Development and Enforcement of Air and Water Standards by States Air standards - Legal interpretations and opinions
	b(1)	Water standards - Legal interpretations and opinions
	b(2)(a)	Water standards - Documents pertaining to waterways - Nonelectronic
	b(2)(b)	Water standards - Documents pertaining to waterways - Electronic
	b(3)	Water standards - Documents pertaining to interim program for controlling water pollutants
688		Enforcement - Air Program
	а	Interim program for controlling air pollutants
	b(1)	Enforcement of industrial and municipal compliance with clean air regulations and standards - Nonelectronic
	b(2)	Enforcement of industrial and municipal compliance with clean air regulations and standards - Electronic
689		Enforcement - Water Program
	a(1)	Enforcement of industrial and municipal compliance with clean water regulations and standards - Nonelectronic
	a(2)	Enforcement of industrial and municipal compliance with clean water regulations and standards - Electronic
	b(1)	Documents and data relating to mercury - Nonelectronic
	b(2)	Documents and data relating to mercury - Electronic
690		Enforcement - Hazardous Waste Program
	а	Compliance monitoring and enforcement for controlling hazardous waste relating to state programs
	b(1)	Enforcement of hazardous waste statutes, regulations, and standards - Nonelectronic
	b(2)	Enforcement of hazardous waste statutes, regulations, and standards - Electronic
691		Enforcement - Mobile Source Program
	а	Record copy
692		Enforcement - Pesticides Program
	а	Record copy
693		Enforcement - Toxic Substances Program
	а	Compliance monitoring and enforcement for controlling toxic substances
	b(1)	Enforcement of toxic substances statutes, regulations, and standards - Nonelectronic
	b(2)	Enforcement of toxic substances statutes, regulations, and standards - Electronic
694		Emission Control Program
	а	Record copy
695		Motor Vehicles File
	а	Record copy
696		Motor Vehicle Import Declaration File
	а	Completed importation form, Declaration of Conformity, and Health, Education, and Welfare regulations
	b	Code sheets for import declaration
	С	Imported vehicle identification numbers
743		Air Facility System (AFS)
	а	Electronic software program
	С	Electronic data
	е	System documentation

Agency File	Code		Title
Function	No.	Item	
114			International Affairs and Commerce
114-041			Foreign Affairs
114-041-01			International Environmental Cooperation and Agreements
114 041 01	275		
	375	а	United Nations Environmental Program (UNEP) File Record copy
	27/	а	· •
	376	а	Environmental Organization File Record copy
	070	а	
	378	•	Special Foreign Currency File
	070	а	Record copy
	379		Bilateral Programs File
		a b	Bilateral agreements Other records
	004	D	
	381	0(1)	U.S. and Russia Environmental Agreement File
		a(1) a(2)	Basic agreements - Nonelectronic Basic agreements - Electronic
		a(2) b	Other files
	382		
	302	a(1)	Laws of the Sea and Deep Seabed Mining Files EPA internal documentation - Nonelectronic
		a(2)	EPA internal documentation - Rectronic
		b	Other records
	383		Ocean Dumping Files
	303	а	Record copy
	384		Oil Pollution File
	304	a(1)	EPA internal documentation - Nonelectronic
		a(2)	EPA internal documentation - Electronic
		b	Other records
	385		NATO Committee on the Challenges of Modern Society (CCMS)
		a(1)	Formally issued documents, summary reports, and decision sheets (AC/274 numbered documents) - Nonelectronic
		a(2)	Formally issued documents, summary reports, and decision sheets (AC/274 numbered documents) - Electronic
		b(1)	Coordinators files - Nonelectronic
		b(2)	Coordinators files - Electronic
		c(1)	Pilot studies - Nonelectronic
		c(2)	Pilot studies - Electronic
		d(1)	Reports - Nonelectronic
		d(2)	Reports - Electronic Chair or Co chair files Napolectronic
		e(1) e(2)	Chair or Co-chair files - Nonelectronic Chair or Co-chair files - Electronic
	207	- (2)	
	387	•	Economic Commission for Europe (ECE) File
	200	а	Record copy
	388		World Health Organization (WHO) File
		a b	Basic agreements Other records
	200		
	390		U.S. and Canadian Relations File
		a(1) a(2)	EPA internal documentation - Nonelectronic EPA internal documentation - Electronic
		a(2)	Other records
	391	~	U.S. and Mexican Relations File
	371		U.S. and Wexican relations file

Agency File	Code	Title
Function	No. Item	
	a(1)	EPA internal documentation - Nonelectronic
	a(2)	EPA internal documentation - Electronic
	b	Other records
	461	International Activities and Agreements
	а	Routine activities and agreements
	b(1)	Significant activities and agreements - Nonelectronic
	b(2)	Significant activities and agreements - Electronic
114-042		International Development and Humanitarian Aid
114-042-01		International Environmental Technical Assistance
	380	Reimbursable Technical Assistance File
	а	Record copy
	386	Organization for Economic Cooperation and Development (OECD) Program File
	а	Record copy
115		Law Enforcement
115-045		Criminal Investigation and Surveillance
115-045-01		Investigation and Surveillance of Environmental Criminals
	208	Criminal Investigation Records
	a	Closed cases - No referral for criminal prosecution
	b	Closed cases - Prosecution declined
	С	Closed cases - Judicial action
2		Mode Of Delivery
204		Regulatory Compliance and Enforcement
204-079		Permits and Licensing
	205	Permit Files
	a(1)	UIC, when EPA is the permitting authority and UIC exemptions - Nonelectronic
	a(2)	UIC, when EPA is the permitting authority and UIC exemptions - Electronic
	b	NPDES minor permits
	С	All others, excluding those covered in items a, b and d
	d	Section 404 dredging and fill
	210	Administrative Records - Permits
	а	RCRA land disposal
	b	UIC and UIC exemptions
	С	NPDES minor permits
	d	All other permits
	236	Permit Appeal Files
205	a	Record copy
205		Federal Financial Assistance
	001	Grants and Other Program Support Agreements - Superfund Site-Specific
	a	Not needed for cost recovery
	b	Needed for cost recovery
	003	Grants and Other Program Support Agreements
	а	Record copy
	009	Integrated Grants Management System (IGMS)
	a	Electronic software program
	b	Input
	С	Electronic data - Superfund site-specific
	d e	Electronic data - waste water construction and state revolving fund grants Electronic data - other than Superfund site-specific, waste water construction,
	C	and state revolving fund grants
Aganay wida Fila Ctross	turo	rov 04/10/2000

Agency File Code		Title
Function No	. Item	
	g	Supporting documentation
080	_	Local Government Reimbursement Program
	a	Record copy
183	3	Grant and Other Agreement Oversight
	a	Superfund site-specific
	b	Other than Superfund site-specific
232	2	Waste Water Construction and State Revolving Fund Grants
	а	Record copy
274	1	Unsuccessful Grant Application Files
	а	Record copy
575	5	Grants Information and Control System (GICS)
	а	Electronic software program
	С	Electronic data
680)	Grant Appeals
	а	Record copy
-260		Mission Program Support
083	3	Environmental Appeals Board Case Files
	а	Record copy
508	3	Administrative Law Judge's Case Files
	a(1)	Record copy of routine cases
	a(2)(a)	Record copy of landmark cases - Nonelectronic
	a(2)(b)	Record copy of landmark cases - Electronic
	b(1)	All other copies of routine cases
	b(2)	All other copies of landmark cases
509		Administrative Law Judge's Case File Index
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
510		Administrative Law Judge's Repository File
	а •	Record copy
674		Legal Interpretation and Opinions
	a(1) a(2)	Requests for and responses - Nonelectronic Requests for and responses - Electronic
	b	Background material
675		Litigation Involving EPA
073	a	Record copy
676		Development of Enforcement and Environmental Standards by States
070	a	Record copy
682		General Counsel Defense Docket System (DEFENSIVE)
002	- а	Electronic software program
	С	Electronic data
75	1	Environmental Alternative Dispute Resolution (ADR) Program Case Files
	а	General case records
	b	Confidential case records
3		Support Delivery of Services
301		Controls and Oversight
301-091		Corrective Action
082	2	Response to Audit, Evaluation, and Investigation
	а	Record copy
Agonov wido Filo Structuro		Page 17 of 40

Agency File C	ode	Title
Function	No. Item	
	601	Recipient and Contractor Debarment and Suspension Records
	а	Record copy
	658	Audit Resolution
	a(1)	Audit resolution board case files - Nonelectronic
	a(2)	Audit resolution board case files - Electronic
004 000	b	Administrative documentation
301-092		Program Evaluation
	192	Program and Program Activity Evaluation Work Files
	a	Record copy
	193	Program and Program Activity Evaluation Reports Record copy
	а	···
	259	Ombudsman and Citizen Complaint Files Completed cases
	a b	Cases requiring additional information
	322	Good Laboratory Practice (GLP) and Audit Report File
	a	Record copy
	456	Laboratory Activity Report Files
	а	Record copy
	698	Investigative Case Files
	а	Files containing information or allegations that are of an investigative nature but
		do not relate to a specific investigation
	b	All other investigative case files except those that are unusually significant
	699	Management Assessment Reviews and Program Evaluations
	а	Record copy
	700	Audit Case Files
	а	Final report maintained at headquarters
	b C	Other copies of final report maintained in regional audit offices
	-	Work papers
	701 a(1)	Semiannual Reports of the Inspector General Record copy - Nonelectronic
	a(1)	Record copy - Electronic
	b	All other copies
301-093		Program Monitoring
	006	Program Management Files
	а	Senior officials
	b	Other than senior officials
	194	Annual Headquarters Operating Guidance Paper and Regional Guidance Plan
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
	195	Agency Program Plans Review Files
	а	Record copy
	198	Reports Clearance Files
	а	Record copy
	203	State and Other Entity Relations and Oversight Files
	а	Record copy
	255	Regional Oversight and Coordination Files
	a	Record copy
	586	Laboratory Performance Evaluation Studies Proficiency Testing (PT)

Agency File	e Code	Title
Function	No. Item	
	а	Record copy
	703	Hotline Files
	a	Record copy
	707	Inspector General Operations and Reporting System (IGOR)
	a	Electronic software program
	С	Electronic data
302		Internal Risk Management and Mitigation
302-095		Continuity of Operations
	602	Continuity of Operations (COOP) Plans
	а	Plan or directive
	b	Background documents
	603	Continuity of Operations (COOP) Exercises
	а	Consolidated and comprehensive reports
	b	Background documents
303		Legislative Relations
303-097		Legislative Tracking
	525	Congressional Committees File
	а	Record copy
	528	Legislative History File
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
	530	Weekly Legislative Reports
	а	Record copy
	531	Enrolled Bill Reports
	а	Record copy
303-098		Legislative Testimony
	532	EPA Congressional Hearing Testimony
	а	Record copy
303-099		Proposal Development
	154	Legislation
	а	Record copy
	526	State Territories and Interstate Group File
	а	Record copy
	529	Agency Proposed Legislation File
	а	Record copy
303-100		Congressional Liaison Operations
	132	Congressional Correspondence
	а	Record copy
	155	Reports to Congress or the President
	a(1)	Final report - Nonelectronic
	a(2)	Final report - Electronic
	b	Work files
	527	Grants File - Congressional Data Transfer
	a(1)	Record copy - Nonelectronic
204	a(2)	Record copy - Electronic
304		Planning and Resource Allocation
304-102		Capital Planning
	735	IT Capital Investment Records
Assessment unido Filo Char		rov 04/10/2000

Agency File function	No. Item	Title
unction		December of the Control of the Contr
304-104	a	Record copy Strategic Planning
304-104-02		Program Planning/Design
	145	Program Development Files
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
	190	Program Policy Planning File
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
304-104-03		Delegation and Partnerships
	204	State and Other Entity Program Authorization and Approval Files
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
304-104-06		Quality Management
	147	Information Quality Guidelines (IQG) Requests for Correction (RFC) and Reconsideration (RFR) Process
	а	Record copy
	185	Collections of Quality Assurance Project Plans (QAPPs)
	а	Approved or accepted QAPPs
	b	Approved or accepted QMPs
	С	QAPPs and QMPs that are not approved or accepted
304-107		Management Improvement
	105	Management Studies
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
305		Public Affairs
305-109		Official Information Dissemination
	088	Bibliographic and Reference Systems
	а	Electronic software program
	С	Electronic data
	089	Information Tracking Systems
	a	Record copy
		· •
	094	Electronic Bulletin Boards
	a	Electronic software program Electronic data
305-109-01	С	Tech Transfer and Training
303-109-01	050	-
	258	Final Deliverables and Reports
	a(1)	Environmental programs, except Superfund site-specific - Nonelectronic
	a(2)	Environmental programs, except Superfund site-specific - Electronic
	b	Superfund site-specific
305-109-02	С	Non-environmental programs Public Information and Outreach
000-109-02	05.4	
	254	Communication and Distribution Strategy File
205 100 02 01	а	Record copy
305-109-02-01		FOIA
	029	Freedom of Information Act (FOIA) Annual Reports
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
	030	Freedom of Information Act (FOIA) Requests Files
laency-wide File Str	ucture	rev_06/10/2009 Page 20 c

Agency File Code		Title
Function No		Thic
T direction	a(1)	Granting access to all the requested records
	a(1) a(2)(a)	Non-existent records, inadequate description, or failure to pay reproduction fees,
	u(2)(u)	and request not appealed
	a(3)(a)	Denials, full or partial, and request not appealed
	b	Official file copy of requested records
03	1	Freedom of Information Act (FOIA) Administrative Files and Reports
	а	Administrative files
	b	Reports
03.	2	Freedom of Information Act (FOIA) Appeals Files
	а	Correspondence and supporting documents
03	3	Freedom of Information Act (FOIA) Control Files
	а	Registers or listings
	b	Other files
26	3	Freedom of Information Act (FOIA) Tracking System
	а	Electronic software program
305-109-02-02		Library Services
54	8	EPA Library Program Files
	а	Record copy
305-109-02-03		Provide Public and Agency Web Access
09	5	Web Sites
	а	System software and documentation
	b	Site management and operations
	С	Log files
305-109-02-04		Provide Public Information, Education and Outreach
08		Public Awareness Files
	a(1)	Official dissemination products - Nonelectronic
	a(2)	Official dissemination products - Electronic
	b	Background or working papers
09	8	Data Marts and Data Warehouses
	а	Electronic software program
	С	Electronic data
14		Speeches and Testimony
	a(1)	Senior officials - Nonelectronic
	a(2) b	Senior officials - Electronic Other federal employees when the speeches are not necessary to document the
	D	Agency or its programs
20	0	Training Material
	а	Routine training materials
	c(1)	Mission-related training materials - Nonelectronic
	c(2)	Mission-related training materials - Electronic
23	0	Public Hearings Files
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
25	0	Publications and Promotional Items
	a(1)	Items depicting EPA's environmental mission activities - Nonelectronic
	a(2)	Items depicting EPA's environmental mission activities - Electronic
	b	Routine publications or promotional items
	С	Working papers and background materials
30	9	Information Requests and Acknowledgment Files

Agency File Code	Title
Function No. Item	
а	Routine requests for information and replies
b	Acknowledgments and transmittals of inquiries and requests referred elsewhere for reply
334	Manuscripts of Articles Written by EPA Personnel
a(1)	Final drafts of manuscripts related to EPA's environmental missions - Nonelectronic
a(2)	Final drafts of manuscripts related to EPA's environmental missions - Electronic
b	Final drafts of manuscripts not related to EPA's environmental missions
С	Working papers and background materials
459	Conferences, Seminars, Associations, and Societies Files
a	Record copy
533	Environmental Awards
a(1)	Major documentation for Presidential and other significant awards - Nonelectronic
a(2)	Major documentation for Presidential and other significant awards - Electronic
b	Routine documentation for Presidential and other significant awards
C	Routine environmental awards
536	Public Affairs Project Files
a(1)	Original artwork - Special projects
a(2)	Original artwork - Routine projects
b	Slides and viewgraphs used by program, staff, and project offices for presentations
С	Designs and engineering drawings for fabrication of display models and exhibits
537	EPA Newsroom Database
а	Electronic software program
С	Electronic data
е	System documentation
306	Regulatory Development
306-112	Policy and Guidance Development
007	Directives and Policy Guidance Documents Issued by Specific Programs and Regions
a(1)	Published or released to the public and related background materials - Nonelectronic
a(2)	Published or released to the public and related background materials - Electronic
b	Unpublished or not released to the public and related background materials
585	Executive Orders and OMB Circulars Review and Comments File
а	Record copy
306-114	Regulatory Creation
149	Regulations, Standards, and Guidelines
a(1)	Published regulations, standards, and guidelines - Nonelectronic
a(2)	Published regulations, standards, and guidelines - Electronic
b	Unpublished regulations, standards, and guidelines
332	Regulatory Impact Analyses Under Executive Order 12866
a(1)	Record copy - Nonelectronic
a(2)	Record copy - Electronic
518	Rulemaking Committees
a(1)	Published regulations, standards, and guidelines - Nonelectronic
a(2)	Published regulations, standards, and guidelines - Electronic
b	Unpublished regulations, standards, and guidelines
306-115	Rule Publication
356	Federal Register Notices

Agency File Code		Title
Function	No. Item	
	а	Record copy
	519	Federal Register Documents Signed by the Administrator or the Deputy Administrator
	а	Record copy
316		Applied Research and Science Support
	447	Environmental Monitoring and Assessment Program Information Management System (EMAP IMS)
	a	Electronic software program
	С	Electronic data
	e	System documentation (OMA)
	467	Office of Research and Development Management Information System (OMIS)
	a	Electronic software programs
	С	Electronic data
	492	Collections of Laboratory Standard Operating Procedures (SOPs)
	a	Record copy
	506	Summary Research Projects Status Reports
21/ 250	а	Record copy
316-258		Applied Science
	451	Environmental Process and Effects Research Program Files
	a(1)	Record copy - Nonelectronic
	a(2) b	Record copy - Electronic All other copies
		·
	452 a(1)	Environmental Engineering and Technology Program Files Record copy - Nonelectronic
	a(1)	Record copy - Rohelectronic
	b	All other copies
	453	Acid Deposition, Environmental Monitoring, and Quality Assurance Program Files
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
	b	All other copies
	455	Health and Environmental Assessment Program Files
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
	472	Source Data Files Relating to In-House Radiological Research Projects
	a(1)	Scientific data needed for continued research purposes - Nonelectronic
	a(2)	Scientific data needed for continued research purposes - Electronic
	b	Other data files
	501	Applied and Directed Scientific Research
	a(1)	Project files - Nonelectronic
	a(2)	Project files - Electronic
	b	Project workpapers and administrative correspondence
	С	Maintenance and calibration and inspection of equipment
	502	Instrument Logbooks
	a	Record copy
	503	Scientific Research Project Files Related to Basic, Exploratory Research
	a	Project files
	b	Maintenance and calibration and inspection of equipment
	504	Research Project Logbooks or Index Records
	а	Project status lists

Agency F		Title
Function	No. Item	
	b	Project logbooks
	507	Criteria and Health Assessment Documents and Risk Assessment Guidelines
	a(1)	Air quality and water quality documents - Nonelectronic
	a(2)	Air quality and water quality documents - Electronic
	b(1)	Air quality criteria documents - Nonelectronic
	b(2)	Air quality criteria documents - Electronic
	c(1)	Water quality criteria documents - Nonelectronic
	c(2)	Water quality criteria documents - Electronic
316-258-02		Ecological Research
	471	Pesticide and Industrial Chemical Risk Analysis and Hazard Assessment (PIRANHA)
	а	Electronic software program
	С	Electronic data
316-258-03		Human Health Research
	454	Health Research Program Files
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
	b	All other copies
	460	Integrated Risk Information System (IRIS) Documentation Files
	a(1)	IRIS complete decision files consisting of case files for those chemicals listed in IRIS - Nonelectronic
	a(2)	IRIS complete decision files consisting of case files for those chemicals listed in IRIS - Electronic
	b	Full sets of RfD/RfC and CRAVE files (prior to 1995)
	С	Non-decisional file reference and background records
	d	Submissions from the public
	466	HERL-RTP Mortality Database (MORT)
	а	Electronic software program
	С	Electronic data
	е	System documentation
	468	Integrated Risk Information System (IRIS)
	а	Electronic software program
	С	Electronic data
	е	System documentation
	499	Employee Occupational Exposure to Ionizing Radiation
	а	Record copy
316-258-04		Monitoring/Modeling Methods Development
	062	Laboratory Information Management Systems (LIMS)
	a	Electronic software system
	C	Electronic data
	063	Electronic Models and Expert Systems
	a	Electronic software program
	C	Electronic data
	458	Water Supply Laboratory Certification Program Files
	456 a	Record copy
316-259	a	Peer Review and Scientific Advisory Functions
	180	Committee Management Records for Federal Advisory Committee Act (FACA) Committees
	a	Record copy
	181	Advisory Groups Established under the Federal Advisory Committee Act (FACA)
Agency-wide File S		rev. 06/10/2009

Agency F	ile Code	Title
Function	No. Item	
	а	Commission's establishment, membership, policy, organization, deliberations, findings, and recommendations
	b	Day-to-day Commission activities, not containing unique information of historical value
	c(1)	Web site records - Electronic version of Web site(s)
	c(2)	Web site records - Design, management, and technical operation records
247	c(3)	Web site records - Electronic version of content records duplicated in textual series of commission records
317		Legal Services
317-261		Internal Operations Support
	677	Information Law and Intellectual Property
	a	Patents, copyright and data rights and interpretations
	b	Disclosures
	678	General Law
	а	EPA general law files
	b	EPA claims files
	С	EPA personnel law files
4		Management of Government Resources
401		Administrative Management
	090	Administrative Support Databases
	а	When hard copy records are retained to meet recordkeeping requirements
	b	When the electronic record replaces hard copy records that support administrative housekeeping functions
	С	Hard copy printouts created for short-term administrative purposes
	110	Office Administrative Files
	а	Record copy
	111	Calendars, Schedules, and Logs of Daily Activities
	а	Senior officials and assistants to those officials
	b	Other federal employees
	С	Routine materials
	127	General Correspondence Files
	а	Record copy
	166	Suspense Files
	a	A note or other reminder to take action
	b	The file copy or an extra copy of an outgoing communication, filed by the date on which a reply is expected
	167	Transitory Files
	а	Record copy
	186	Interagency Committee Records Not Related to FACA
	a	Record copy
	187	· ·
	187 a	Intra-Agency and Internal Committees Committees related to EPA's environmental missions
	a b	Committees unrelated to EPA's environmental missions
	523	
	a(1)	Administrator's Meetings File Record copy - Nonelectronic
	a(1)	Record copy - Rectronic Record copy - Electronic
		· ·
	664	Mailing Lists Source Records Correspondence, request forms, and other records relating to changes in mailing.
	a	Correspondence, request forms, and other records relating to changes in mailing lists
	b	Card lists

Agency File	Code	Title
Function	No. Item	
	738	Audiovisual Records
	а	Still photography - Photographs of routine award ceremonies, social events, and activities not related to the mission of the Agency
	b	Still photography - Personnel identification or passport photographs
	С	Still photography - Internal personnel and administrative training filmstrips and slides of programs that do not reflect the mission of the Agency
	d	Graphic arts - Viewgraphs
	е	Graphic arts - Routine artwork for handbills, flyers, posters, letterhead, and other graphics
	f	Graphic arts - Line and halftone negatives, screened paper prints and offset lithographic plates used for photomechanical reproduction
	<u>g</u>	Graphic arts - Line copies of graphs and charts
	h 	Motion pictures - Films acquired from outside sources for personnel and management training
	<u>i</u>	Motion pictures - Routine surveillance footage
	j	Motion pictures - Routine scientific, medical, or engineering footage
	k	Video recordings - Programs acquired from outside sources for personnel and management training
	I	Video recordings - Rehearsal or practice tapes
	m 	Video recordings - Internal personnel and administrative training programs that do not reflect the mission of the Agency
	n	Video recordings - Routine surveillance recordings
	0	Video recordings - Routine scientific, medical, or engineering recordings
	р	Video recordings - Recordings that document routine meetings and award presentations
	q	Audio recordings - Recordings of meetings made exclusively for note taking or transcription
	r	Audio recordings - Dictation belts or tapes
	S	Audio recordings - Premix sound elements created during the course of a motion picture, television, or radio production
	t	Audio recordings - Daily or spot news recordings available to local radio stations on a call-in basis
	740	Office of Administrative Services Information System (OASIS)
	а	Electronic software program
	С	Electronic data
	745	Ad Hoc Printouts
401-119	a	Record copy Facilities, Fleet and Equipment Management
401-119-01		Acquire and Manage Facilities
	291	Real Property Files
	а	Documents relating to property acquired after December 31, 1920, other than abstract or certificate of title
	b	Abstract or certificate of title
	666	Agency Space Files
	а	Building plan files, surveys and other records utilized in space planning, assignment, and adjustment
	b(1)	Agency reports to GSA, including SF 81, Request for Space, and related documents
	b(2)	Copies of correspondence and reports in subordinate reporting units and related work papers
	667	Real Estate Project Requests
	а	Record copy

Agency File	Code	Title
Function	No. Item	
	668	Real Property Records
	a(1)	Title papers - All records for property acquired other than abstract or certificate
		of title
	a(2)	Title papers - Abstract or certificate of title
	b	Real property reports
	669	Surplus Real Property Case Files
	а	Record copy
401-119-02		Acquire and Manage Fleets and Equipment
	615	Personal Property Accountability
	a	Ledger files
	b	Reports, loss statements, receipts and other documents relating to lost and found articles
	629	Surplus Personal Property Case Files
	а	Transactions of more than \$25,000
	b	Transactions of \$25,000 or less
	630	Excess Personal Property Reports
	а	Record copy
	631	Personal Property Records
	а	Record copy
	635	Motor Vehicle Operating and Maintenance Files
	a	Operating records
	b	Maintenance records
	636	Motor Vehicle Cost Files
	а	Record copy
	637	Motor Vehicle Reports
	a	Record copy
	638	Motor Vehicle Accident Files
	а	Record copy
	639	Motor Vehicle Release Files
	а	Record copy
	640	Motor Vehicle Leases from GSA
	а	Record copy
	641	Motor Vehicle Operator Files
	а	Record copy
401-119-03		Provide Safety, Health, and Environmental Services
	016	Individual Non-Occupational Health Record Files
	а	Record copy
	023	Health Unit Control Files
	a	If information is summarized on statistical report
	b	If information is not summarized
	578	Safety Standards Files
	а	Record copy
	579	Personal Injury Files
	а	Record copy
	581	Property Safety Inspections
	а	Record copy
	582	Protective and Preventive Measures Reports Files
	а	Record copy
		0.440.0000

Agency F	ile Code	Title
Function	No. Item	
	583	Occupational Injury and Illness Files
	а	Record copy
	584	Industrial Hygiene Files
	а	Standards and practices documents and routine medical monitoring records
	b	Employee exposure records
	С	Asbestos monitoring records
	610	Survey and Inspection Files - Government-owned Facilities
	a	Record copy
	611	Investigative Files
	a	Record copy
401-121	u	Security Management
101 121	604	· · ·
		Document Receipt Files
	a	Record copy
	605	Destruction Certificates Files
	а	Record copy
	606	Classified Document Inventory Files
	a	Record copy
	607	Top Secret Accounting and Control Files
	a	Registers
	b	Forms
	608	Access Request Files
	а	Record copy
	609	Classified Document Container Security Files
	а	Forms or lists used to record combination information
	b	Forms recording access to classified document containers
	612	Property Pass Files
	a	Record copy
	613	·
		Guard Assignment Files
	a b	Ledger records Requests, analyses, reports, change notices, and other papers relating to post
	<u> </u>	assignments and strength requirements
	614	Police Function Files
	а	Ledger records of arrest, cars ticketed, and outside police contacts
	b	Reports, statements of witnesses, warning notices, and other documents relating to arrest, commitments, and traffic violations
	С	Reports on contact of outside police with building occupants
	616	Key Accountability Files
	а	For areas under maximum security
	b	For other areas
	617	Visitor Control Files
	а	Areas under maximum security
	b	Other areas
	618	Facilities Checks Files
	а	Data sheets, door slip summaries, check sheets, and guard reports on security violations
	b	
		Reports of routine after-hours security checks
	619	Guard Service Control Files
	a 	Control center key or code records, emergency call cards, and building record and employee identification cards
Agency-wide File St	tructure	rev. 06/10/2009 Page 28 of 40

Agency File Code	7	Title
Function No.		Title
Tunetion	b	Round reports, service reports on interruptions and tests, and punch clock dial sheets
	С	Automatic machine patrol charts and registers of patrol and alarm services
	d	Arms distribution sheets, charge records, and receipts
62	20	Security Violations Files
	а	Alleged violations of a sufficiently serious nature that they are referred to DOJ or DOD for prosecutive determination
	b	All other files
62	24	Confidential Business Information (CBI) Access
	а	Federal employees
	b	Contract employees and companies
62	25	Guard Service Performance
	а	Contracts
	b	General correspondence
	C	Nonperformance of assigned duties records
	d	Post orders
	е	Special orders
62	26	Survey and Inspection Files - Privately-owned Facilities
	а	Record copy
4.2		
62		Credentials Files Identification credentials
	b b	Receipts, indices, listings, and accountable records
		· .
62		Classified Information Nondisclosure Agreements
	а	If maintained separately from the individual's official personnel folder
70)4	Personnel Security Clearance Files
	а	Case files
	b	Investigative reports and related documents furnished by investigative organizations
70)5	Personnel Security Clearance Status Files
	а	Record copy
401-122		Travel
02	28	Travel
	а	Electronic software program
	b	Electronic data
	С	Software documentation
	d	Electronic signature and verification data
	е	Original receipts
	f	Documentation not processed electronically
39	2	International Travel
	а	Record copy
39	93	Official Passports
	а	Application files
	b	Annual reports
	С	Passport registers
402		Financial Management
27	'8	Accountable Officers' Files
	а	Site audit records as listed in guidance, or their equivalents
	b	Memorandum copies of accountable officers' returns
30	00	PeoplePlus (PPL)

Agency Fil	e Code	Title
Function	No. Item	
	а	Electronic software program and system documentation
	673	Federal Activities Inventory Reform (FAIR) Act Records
	а	Circular No. A-76 case files and studies maintained by office having primary responsibility
	b	Circular No. A-76 records maintained by other offices
402-124		Accounting
	021	Administrative Data Warehouse (ADW)
	а	Electronic software programs
	С	Electronic data
	052	Superfund Cost Recovery Package Imaging and On-Line System (SCORPIOS)
	а	Electronic software programs
	С	Electronic data
	е	Supporting documentation
	053	Financial Management System (FMS)
	a	Electronic software program
	С	Electronic data
	054	Integrated Financial Management System (IFMS)
	a	Electronic software program
	С	Electronic data
	289	External Accounting Reports as Required by Government-wide Regulations
402-125	a	Record copy Budget and Finance
402-125	0.40	-
	040	Budget Reports Annual report
	a b	All other reports
	043 a	Appropriation Allotment Files Record copy
		· •
	299 a	Budget Automation System (BAS) Electronic software program
	c(1)	Electronic data - Final data
	c(2)	Electronic data - Working data
	e(1)	System documentation - Final data
	589	Budget Apportionment Files
	а	Record copy
	591	Budget Background Records
	а	Record copy
402-126		Payments
	087	Claims Against the Fund
	а	Record copy
	276	Time and Attendance Source Records
	a(1)	Source records maintained by Washington Finance Center
	a(2)	Source records - Copies maintained by other offices.
	280	Payroll Support
	a	Record copy
	281	Combined Federal Campaign and Other Allotment Authorizations
	a(1)	Authorization for individual allotment to the Combined Federal Campaign
	a(2)	Other authorizations, such as union dues and savings
	282	Payroll Control

Agency File	Code	Title
Function	No. Item	
T direction	a a	Record copy
	283	· ·
	a	Pay Folders Record copy
	284	Payroll System Reports Error reports, ticklers, system operation reports
	a b	Reports and data used for agency workload and/or personnel management
	D	purposes
	С	Reports providing fiscal information on agency payroll
	573	EPA Payroll System (EPAYS)
	а	Electronic software program
	b	Input
	С	Electronic data
403		Human Resource Management
	568	Personnel Correspondence Files Related to General Administration
	а	Record copy
403-251		Staff Acquisition
403-251-02		Acquire Personnel
	026	Handicapped Individuals Appointment Case Files
	а	Record copy
	100	Appointee Clearance and Vetting Files
	а	Appointees
	b	Non-appointees
	556	Examining and Certification Records
	а	Delegated agreements
	b	Correspondence concerning applications, certification of eligibles, and all other examining and recruiting operations
	С	Correspondence or notices received from eligibles indicating a change in name, address, or availability
	d	Test material stock control
	е	Application Record Card
	f	Examination announcement case documentation files
	g	Register or inventory of eligibles
	<u>h</u>	Letters to applicants denying transfer of eligibility
	I	Test answer sheets
	J k	Lost or exposed test material case files Canceled and ineligible applications for positions filled from a register or
	K	inventory
	I(1)	Eligible applications for positions filled from an active register or inventory
	l(2)	Eligible applications for positions filled from an inactive register or inventory
	m	Ineligible or incomplete applications for positions filled by case examining
	n	Eligible applications for positions filled by case examining that either are not referred to the hiring official or are returned to the examining office by the hiring official
	0	Request for prior approval of personnel actions taken by agencies
	р	Certificate files
	q	Certification request control index and certificate control log system
	r	Interagency Placement Program (IPP) application and registration sheet
	S	DEP control cards, if maintained
	t	Reports of audits of delegated examining operations
	557	Offers of Employment Files
	а	Accepted offers

Code		Title
No.	Item	
	_	Declined offers - All others
558	- (-)	Certificate of Eligibles Files
330	а	Record copy
E 7.0	u	
570	•	Intergovernmental Personnel Act (IPA) Assignment Files Record copy
	а	Perform Personnel Actions
553	(4)	Official Personnel Folders (OPF) - Civilian
		Transferred employee records filed on the right side of the OPF
		Separated employee records filed on the right side of the OPF
		Correspondence and forms maintained on the left side of the OPF for temporary individual employee records
	b(2)	Immigration and Naturalization Service Form I-9 for temporary individual employee records
554		Agency Personnel Folders - Public Health Service Commissioned Corps Personnel
	а	Record copy
555		Service Record Cards (Standard Form (SF) 7 or Equivalent)
	b	Cards for employees separated or transferred on or after January 1, 1948
560		Notifications of Personnel Actions - Standard Form 50
300	а	Chronological file copies, including fact sheets, maintained in personnel offices
		All other copies maintained in personnel offices
		Organization and Position Management
104		· ·
106	2(1)	Organizational Plans Record copy - Nonelectronic
		Record copy - Nonelectronic Record copy - Electronic
	a(2)	Manage Organizations and Positions
FF0		
559	2(1)	Position Classification Files
		Position classification standards files
		Case file Review file
		Position descriptions
		Survey files - Classification survey reports
		Survey files - Inspection, audit, and survey files
		Appeals files - Case files relating to classification appeals, excluding OPM
	G(1)	classification certificate
	d(2)	Appeals files - Certificates of classification issued by OPM
		Benefits Management
118		Child Care Tuition Assistance Program Records
	а	Agreements
	b	Other documentation
279		Leave Records
21)	a(1)	Leave application files - If employee initials time card or equivalent
		Leave application files - If employee has not initialed time card or equivalent
		Leave record - Maintained by creating office
565	. ,	Donated Leave Program Case Files
505	а	Record copy
E//	u	· ·
900	- (1)	Employee Medical Folder (EMF) Transferred employees - Long-term medical records as defined in 5 CFR 293,
		THE STATE OF THE PROPERTY OF T
	a(1) a(2)	subpart E Separated employees - Long-term medical records as defined in 5 CFR 293,
	558 570 553 554 555 560 106	No. Item

Agency Fil	e Code	Title
Function	No. Item	
Tunction	b	Temporary or short-term records as defined in the Federal Personnel Manual (FPM)
	С	Individual employee health case files created prior to establishment of the EMF system that have been retired to a NARA records storage facility
403-255		Employee Development and Performance Management
403-255-01		Provide Employee Development and Performance Management Policies, Guidance, and Training
	571	Personnel Training Records
	a(1)	General file of Agency-sponsored personnel training
	a(2)	Background and working files
	b	Employee training
403-255-04		Manage Performance
	107	Employee Performance File System
	a(1)	Appraisals of unacceptable performance - Non-SES appointees
	a(2)	Superseded performance records - Non-SES appointees
	a(3)(b)	All other performance plans and ratings - Non-SES appointees
	a(4)	All other summary performance appraisal records - Non-SES appointees
	a(5)	Supporting documents - Non-SES appointees
	b(1)	Superseded performance records - SES appointees
	b(2)(b)	All other performance ratings and plans - SES appointees
	b(3)	All other performance appraisals - SES appointees
	b(4)	Supporting documents - SES appointees
	562	Merit Promotion Case Files
	a	Record copy
	563	Performance Rating Appeals
	a	Record copy
		···
	572	Employee Awards Files
	a(1)	General awards case files
	a(2)	General awards correspondence for awards from other federal agencies or non-federal organizations
	b	Length of service and sick leave awards files
	c d	Letters of commendation and appreciation Lists of or indexes to Agency award nominations
		<u> </u>
	574	Incentive Awards Program Reports
100.057	а	Record copy
403-256		Employee Relations
	035	Financial Disclosure Reporting Files
	a(1)	SF 278 reports and related records for individuals not subsequently confirmed by the U.S. Senate or elected
	a(2)	All other SF 278s and related records
	b(1)	OGE Form 450s and related records for individuals not subsequently confirmed by the U.S. Senate
	b(2)	All other OGE Form 450s and OGE Optional Form 450-As and related records
	c(1)	Alternative or additional financial disclosure reports and related records for individuals not subsequently confirmed by the U.S. Senate
	c(2)	All other alternative or additional financial disclosure reports and related records
	039	Alternate Worksite Records
	a	Approved requests or applications
	b	Unapproved requests
	С	Alternative worksite program evaluations
	051	Alternative Dispute Resolution (ADR) Files Related to Employee Relations

Agency File Code		Title
Function No.	Item	
Tunotion 110.	a	General files
	b	Case files
068		Reasonable Accommodation Request Records
008	а	General files
	b	Employee case files
	C	Supplemental files
	d	Tracking system
069		Ethics Program Implementation, Interpretation, Counseling, and Development Files
	а	Routine determinations and interpretations
	b	All other records
070		Ethics Agreement Records
	а	Record copy
071		Referrals and Notifications of Violations of Criminal Conflict of Interest Statutes and Other Potential Violations Files
	а	Record copy
072		Non-Federally Funded Travel Files
	а	Semiannual Expense Reports for Non-Federally Funded Travel
	b	Statements, forms, and other records
073		Ethics Program Review Files
	а	OGE program review reports
	b	All other records
074		Annual Agency Ethics Program Questionnaire Files
	а	Questionnaires
	b	All other records
122		Supervisors' Personnel Files and Duplicate OPF Documentation
	а	Supervisors' personnel files
	b	Duplicate documentation
541		Equal Employment Opportunity (EEO) Records
	а	Official discrimination complaint case files
	b	Copies of complaint case files
	c(1)	Preliminary and background files not filed in the official file
	c(2)	Preliminary and background files for complaints that do not develop into official discrimination complaint cases
	d(1)	Compliance review files for contractor employment practices
	d(2) e	EEO compliance reports Employee housing requests
	f	Employment statistics files relating to race and sex
	g	EEO general files
	h(1)	Consolidated EEO affirmative action plans (AAP)
	h(2)	Feeder plan for EEO affirmative action plans (AAP)
	h(3)	Report of on-site reviews of affirmative action programs
	h(4)	Annual report of affirmative action accomplishments
546		Personnel Counseling Records
	а	Counseling files
	b	Alcohol and drug abuse program files
561		Personnel Correspondence and Forms Files Relating to Individual Employees
	а	Correspondence and forms relating to pending personnel actions
	b(1)	Retention registers used to effect reduction-in-force actions

Agency Fi	le Code	Title
Function	No. Item	
	b(2)	Retention registers from which no reduction-in-force actions have been taken and related records
	С	All other correspondence and forms
	564	Administrative Grievance, Disciplinary, and Adverse Action Files
	а	Administrative grievance files
	b	Adverse action files and performance-based actions
	569	Federal Workplace Drug Testing Program Files
	а	Drug test plans and procedures
	b	Forms completed by employees whose positions are designated sensitive for drug testing purposes acknowledging that they have received notice that they may be tested
	С	Selection and scheduling records
	d(1)	"Permanent" record books
	d(2)	Chain of custody records
	e(1)(a)	Positive test results for employees
	e(1)(b)	Positive test results for applicants not accepted for employment
	e(2)	Negative test results
403-257		Separation Management
	567	Retirement Assistance Files
	а	Record copy
403-258		Labor Relations
	577	Labor Management Relations Records
	a(1)	Labor management relations general and case files for the office negotiating the agreement
	a(2)	Labor management relations general and case files for other offices
	b	Labor arbitration general and case files
404		Information and Technology Management
	138	Financing of IT Resources and Services
	а	Agreements formalizing performance criteria for quantity and quality of service
	b	Files related to managing third-party services
	С	Records generated in IT management and service operations to identify and allocate charges and track payments for computer usage, data processing, and other IT services
	144	IT Infrastructure Design and Implementation Files
	а	Projects that are not implemented
	b	Projects that are implemented
	С	Installation and testing records
	188	Quality and Information Council
	a(1)	Record copy - Nonelectronic
	a(2)	Record copy - Electronic
	310	Telephone Use (Call Detail) Records
	а	Record copy
	440	IT Program Planning Records
	440 a	Record copy
		General Communications Files
	650 a	Correspondence and related records pertaining to internal administration and operation
	b	Telecommunications general files
	C	Telecommunications general mes Telecommunications statistical reports
	d(1)	Telecommunications statistical reports Telecommunications voucher files
	d(2)	Telecommunications installation, change, removal, and servicing of equipment
A	ω(<i>Σ</i>)	roy 04/10/2000

Agency File	e Code	Title
Function	No. Item	
	е	Agreements and background data for telecommunications services
	652	Telecommunications Operational Files
	а	Message registers, logs, performance reports, daily load reports, and related and similar records
	b	Incoming and outgoing messages maintained by communications offices or centers
	734	Enterprise Architecture Records
	а	Record copy
	736	IT Legal and Regulatory Compliance Records
	а	Record copy
	737	CIO Committee Records
	а	Record copy
404-139		IT Infrastructure Maintenance
	124	IT Facility, Site Management, and Equipment Support Services Files
	а	Record copy
	125	IT Asset and Configuration Management Files
	а	Inventories of IT assets, network circuits, and building or circuitry diagrams
	b(1)	IT system implementation and change management
	b(2)	Routine IT maintenance
	136	IT Operations Records
	а	Workload schedules, run reports, and schedules of maintenance and support activities
	b	Problem reports and related decision documents relating to the software infrastructure of the network or system
	С	Reports on operations
	142	IT Customer Service Files
	а	Records related to providing help desk information to customers
	b	Help desk logs and reports and other files
404-140		IT Security
	128	Security of Systems and Data
	a	System security plans and disaster recovery plans
	b	Documents identifying IT risks and analyzing their impact
	129	User Identification, Profiles, Authorizations, and Password Files
	a	Systems requiring special accountability
	b	Routine systems
	130	Computer Security Incident Handling, Reporting, and Follow-up Records
	a	Record copy
	749	Automated System Security Evaluation and Remediation Tracking (ASSERT)
	a	Electronic software program
404-141	С	Electronic data for EPA Record Retention
404-141-02		
404-141-02	077	Document Tracking
	077	Correspondence Management System (CMS)
	e e	Electronic software program System documentation
	742	Enterprise Content Management System (ECMS)
404-141-02-01	а	Electronic software program Controlled Correspondence
104 141-02-01	1.4.1	·
	141	Controlled and Major Correspondence

Agency File	Code	Title
Function	No. Item	
	a(1)	EPA Administrator and other senior officials - Nonelectronic
	a(2)	EPA Administrator and other senior officials - Electronic
	b	Division Directors and other personnel
404-141-02-02		Records Management
	307	Records Disposition Files
	a(2)	Records description and disposition program documentation
	b	Routine correspondence and memoranda
404-141-02-03		Dockets
	150	Dockets
	а	Final docket
	b	Inactive, nonfinal docket, and documents of short-term value
	152	Federal Docket Management System (FDMS)
	а	Electronic software program
	b	Electronic data for participating agency records
	С	E-mail identification and verification data
404-141-02-04		Forms Management
	004	Electronic Forms Systems
	а	Electronic software programs
	c(1)(a)	Electronic blank forms
	c(1)(b)	Electronic completed forms
	c(2)	Forms database
	109	EPA Forms
	а	EPA form and documentation
	b	Background materials
404-142		Information Management
404-142-01		Data Administration and Integration
	096	Data Standards and Registry Services
	а	Electronic software program
	С	Electronic data
	f	Data standards documentation
	119	IT Oversight and Compliance Files
	а	Performance measurements and benchmarks
	b	All other oversight and compliance records
	160	Downloaded and Copied Data
	a	Derived data used for ad hoc or one-time inspection, analysis or review, if the derived data is not needed to support the results of the inspection, analysis, or review
	b	Derived data that provide user access in lieu of hard copy reports that are authorized for disposal
	С	Metadata or reference data, such as format, range, or domain specifications
	161	System Backups and Tape Library Records
	b	Tape library records
	170	Files and Records Relating to the Creation, Use, and Maintenance of Computer Systems, Applications, or Electronic Records
	a	Electronic files or records created solely to test system performance, as well as hard-copy printouts and related documentation for the electronic files or records
	b	Electronic files or records used to create or update a master file
	С	Electronic files and hard-copy printouts created to monitor system usage
	171	Input and Source Records
	a(4)	Hard copy documents other than those covered by items a(1) - a(3) above.

Agency File	Code	Title
Function	No. Item	
	b	Electronic records entered into the system during an update process, and not required for audit and legal purposes
	С	Electronic records received from another agency and used as input/source records by the receiving agency
	d	Computer files or records containing uncalibrated and unvalidated digital or analog data collected during observation or measurement activities or research and development programs and used as input for a digital master file or database
	173	Data Files Consisting of Summarized Information
	а	Record copy
	174	Records Consisting of Extracted Information
	а	Record copy
	175	Print Files
	а	Record copy
	176	Technical Reformat Files
	а	Record copy
	177	Security Backups of Files
	а	Files identical to records scheduled for transfer to the National Archives
	b	Files identical to records authorized for disposal in a NARA-approved records schedule
	179	Special Purpose Programs
	а	Record copy
	304	Systems Documentation
	a(1)	Documentation related to electronic records that are scheduled for destruction in the General Records Schedule (GRS) or in a NARA-approved agency schedule
	a(2)	Documentation relating to electronic records that are scheduled for permanent retention in the GRS or in a NARA-approved agency schedule
404-142-02		Data Collection
	097	Central Data Exchange (CDX)
	а	Electronic software program
	С	Electronic data
	112	Privacy Act Requests Files
	a(1)	Granting access to all the requested records
	a(2)(a)	Requests not appealed - Requests for nonexistent records; to requesters who provide inadequate descriptions, and to those who fail to pay Agency reproduction fees
	a(3)(a)	Requests not appealed - Denying access to all or part of the records requested
	113	Privacy Act Administrative Files and Reports
	а	Administrative files
	b	Reports
	115	Privacy Act Amendment Case Files
	а	Requests to amend agreed to by EPA
	b	Requests to amend refused by EPA
	С	Appealed requests to amend
	116	Privacy Act Accounting of Disclosure Files
	а	Record copy
	117	Privacy Act Control Files
	а	Registers or listings
	b	Other files
	199	Information Collection Requests and Reports
	а	Information collection budget reports submitted to OMB and Congress

Agency File C	Code		Title
Function		Item	
		b	Information collection requests submitted to OMB for approval
	313		Privacy Act Reports Files
		a(1)	Annual reports - Nonelectronic
		a(2)	Annual reports - Electronic
		b	Other reports
405			Supply Chain Management
	020		Contract Management Records - Superfund Site-Specific
		а	Contracting Officer (CO)
		b	Contract-level Contracting Officer's Representative (COR)
		С	Work Assignment Contracting Officer's Representative (COR)
	036		Routine Procurement Files
		a(1)(a)	Procurement organization copy exceeding \$3,000, dated on or after July 3, 1995
		a(1)(b)	Procurement organization copy at or below \$3,000, dated on or after July 3, 1995
		a(2)(a)	Procurement organization copy exceeding \$2,000, dated earlier than July 3, 1995
		a(2)(b)	Procurement organization copy under \$2,000, dated earlier than July 3, 1995
		b	Obligation copy held by Finance offices
		С	Other copies of records described above used by component elements of a
		Ü	procurement office for administrative purposes
		d	Data submitted to the Federal Procurement Data System (FPDS)
	055		Contracts Management Systems
		а	Electronic software program
		c(1)	Electronic data - except Superfund site-specific
		c(3)	Electronic data - Superfund site-specific
		d(2)	System usage reports
	202		Contract Management Records
		а	Contracting Officer (CO)
		b	Contract-level Contracting Officer's Representative (COR)
		С	Other Contracting Officer's Representatives (CORs)
	275		Solicited and Unsolicited Bids and Proposals Files
		b(1)	Solicited and unsolicited unsuccessful bids and proposals - Small purchases
		b(2)(a)	Solicited and unsolicited unsuccessful bids and proposals - Large purchases when filed separately from contract case files
		b(2)(b)	Solicited and unsolicited unsuccessful bids and proposals - Large purchases when
			filed with contract case files
		c(1)	Canceled solicitations - Formal solicitations of offers to provide products or services that were cancelled prior to award of a contract
		c(2)	Canceled solicitations - Unopened bids
		d	Lists or card files of acceptable bidders
	290		Administrative Reports and Data Relating to Procurement Operations
		а	Reports and data used for workload purposes
		b	All other reports and data
	297		Small and Disadvantaged Business Utilization
		а	Record copy
	656		Board of Contract Appeals Cases
		а	Record copy
	657		GAO Contract Bid Protest Cases
		а	Record copy
	679		Bid Protest Appeals
	017	а	Record copy
	702	<u>~</u>	
	702		Suspension and Debarment Files

Agency File Co	de	Title
	No. Item	
	а	Record copy
405-143		Goods Acquisition
C)42	Purchase Card System
	a	Electronic software program
	b	Input
	С	Electronic data - except Superfund site-specific
	d	Electronic data - Superfund site-specific
	f	Supporting documentation
1	21	Transportation and Freight
	а	Original vouchers and support documents - Commercial freight charges of settled fiscal accounts
	b	Other payment records - Commercial freight charges for services
	С	Issuing office copies
	d	Lost or damaged shipments files
	е	General transportation files
	f	Accountability records
405-146		Services Acquisition
C)37	Federal Employee Transportation Subsidy Records
	а	Record copy
5	35	Public Printer Files
	а	Printing procurement unit copy of requisition, invoice, specifications, and related papers
	b	Accounting copy of requisition
6	553	Messenger Service Files
	а	Record copy
6	554	Post Office and Private Mail Company Records
	а	Records relating to incoming or outgoing mail
	b	Applications
	С	Reports
6	55	Mail and Delivery Service Control Files
	а	Records of receipt and routing of incoming and outgoing mail and items handled by private delivery companies such as United Parcel Service
	b	Statistical reports of postage used on outgoing mail and fees paid for private deliveries
	С	Requisition for stamps
	d	Statistical reports and data relating to handling of mail and volume of work performed
	е	Records relating to checks, cash, stamps, money orders, or any other valuables remitted to the Agency by mail
	f	Records of and receipts for mail and packages received through the Official Mail and Messenger Service
	g	General files
	h	Locator cards, directories, indexes, and other records relating to mail delivery to individuals
6	661	Printing Project Files
	а	Files pertaining to the accomplishment of the printing job
	b	Files pertaining to planning and other technical matters
6	62	Printing Control Files
	а	Record copy